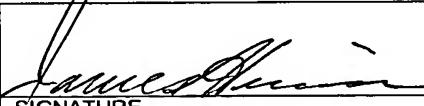


Form PTO-1390 U.S. DEPARTMENT OF COMMERCE AND TRADEMARK OFFICE (REV. 11-2000)		Atty. Docket No. 010100-120
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. Corresponds to PCT/AU03/00023 (If known, see 37 CFR 1.5) 10/501582
INTERNATIONAL APPLICATION NO. PCT/AU03/00023	INTERNATIONAL FILING DATE January 14, 2003	PRIORITY DATE CLAIMED PR9949 – January 14, 2002 PS1717 – April 16, 2002
TITLE OF INVENTION A FIN ASSEMBLY		
APPLICANT(S) FOR DO/EO/US Troy CLUTTON & Dean COLE		
Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c) (2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c) (3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c) (3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (Executed copy) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 37(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording (Executed copy). A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Post Card 		

10/501582

U.S. Application (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. Corresponds to PCT/AU03/00023	Atty. Docket No. 010100-120		
<p><input type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO</p>		CALCULATIONS PTO Use Only		
		\$1,080		
<p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO</p>		\$ 860		
<p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO</p>		\$ 710		
<p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)</p>		\$ 690		
<p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....</p>		\$ 100		
ENTER APPROPRIATE BASIC FEE AMOUNT		\$1,080.00		
=				
<p>Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	56 - 20 =	36	X \$ 18	\$ 648.00
Independent Claims	11 - 3 =	8	X \$ 80	\$ 688.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)		+ \$290	\$ 290.00	
TOTAL OF ABOVE CALCULATIONS		=		
<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>		\$		
SUBTOTAL		\$2,706.00		
=				
<p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR 1.492(f)).</p>		\$		
TOTAL NATIONAL FEE		\$2,706.00		
=				
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +</p>		\$40		
TOTAL FEES ENCLOSED		= \$2,746.00		
		Amount to be refunded:	\$	
		Charged:	\$	
<p>a. <input checked="" type="checkbox"/> A check, No. 3557, in the amount of \$2,706.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 50-0655 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0655. A duplicate copy of this sheet is enclosed.</p>				
SEND ALL CORRESPONDENCE TO: HENRICKS, SLAVIN & HOLMES, LLP 840 Apollo Street, Suite 200 El Segundo, CA 90245		 SIGNATURE James A. Henricks Registration Number 31,168		
Attention: James A. Henricks Telephone: 310-563-1456 Fax: 310-563-1460 Email: jhenricks@hsh-iplaw.com				
Customer No.		21836		
PATENT TRADEMARK OFFICE				

10/501582
PATENT

DT04 Rec'd PCT/PTO 14 JUL 2004

**TRANSMITTAL LETTER TO THE
UNITED STATES DESIGNATED/ELECTED
OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35
U.S.C. 371**

Attorney Docket No.	010100-120	Total Pages	2
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First Named Inventor or Application Identifier:
Troy CLUTTON & Dean COLE

Title: **A FIN ASSEMBLY**Express Mail Label No. **EV421393224US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450**

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing.)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages 22 <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention </p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies </p> |
| <p>- Brief Summary of the Invention</p> <p>- Brief Description of the Drawings (if filed) <input type="checkbox"/></p> <p>- Detailed Description <input type="checkbox"/></p> <p>- Claim(s) <input type="checkbox"/></p> <p>- Abstract of the Disclosure <input type="checkbox"/></p> | |
| <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5; FIGS. 1-9</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 2 <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Executed (copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below) <ul style="list-style-type: none"> i. <input type="checkbox"/> Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b). </p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked).
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.</p> | |
| <p>1. Accompanying Application Parts</p> <p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents)</p> <p>9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of
Statement (IDS)/PTO-1449 Citations (as
needed)</p> <p>12. Preliminary Amendment <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Amendment to Claims b. <input checked="" type="checkbox"/> Amend the Specification by adding the
following immediately after the Title: "This
application is a National Stage filing of
PCT/AU03/00023, filed January 14, 2003." </p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior appln.
Statement(s) Status still proper and desired.</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(If foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p> | |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

Continuation Divisional Continuation-in-part (CIP) of prior application No. :

Prior application information: Examiner: **N/A**Group/Art Unit: **N/A****COPY**

10/501582

DTG4 Rec'd PCT/PTO 14 JUL 2004

18. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer No.		21836		Or <input type="checkbox"/> New correspondence address Below	
Attn: James A. Henricks		HENRICKS, SLAVIN & HOLMES LLP 840 Apollo Street, Suite 200 El Segundo, California 90245 U.S.A.			Telephone (310) 563-1456 Fax (310) 563-1460

FEE CALCULATION

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS (\$)
	TOTAL CLAIMS (37 CFR 1.16(c))	56 - 20	= 36	x \$ 18	648
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11 - 3	= 8	x \$ 86	688
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$ 290.00	290.00
				BASIC FEE (37 CFR 1.16(a))	1,080.00
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months				0
	From the earliest claimed priority date (37 CFR 1.492(e))	Total of above Calculations =		2,706.00	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)			00	
	TOTAL =			\$2,706.00	

19. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0655:

- a. Fees required under 37 CFR 1.16.
- b. Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.

20. A check in the amount of \$ 2706.00, No. 3557, is enclosed.

21. Other:

Issuance of the official Filing Receipt at an early date is in order.

Express Mailing Label No. **EV421393224US**
I hereby certify that on July 14, 2004, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.110, addressed to Commissioner for Patents Box PCT, Washington, D.C. 20231.

James A. Henricks
James A. Henricks

Dated: July 14, 2004

James A. Henricks
James A. Henricks, Registration No. 31,168

HENRICKS, SLAVIN & HOLMES LLP
840 Apollo Street, Suite 200
El Segundo, California 90245 U.S.A.
Telephone (310) 563-1456 • Fax (310) 563-1460